



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:	Lyle, et al	Examiner:	Unknown
Application No.:	09/964,272	Art Unit:	2131
Filed:	September 25, 2001	Docket No.:	RECOP018
Title:	SYSTEM AND METHOD FOR ANALYZING PROTOCOL STREAMS FOR A SECURITY-RELATED EVENT		

CERTIFICATE OF MAILING

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Vicki Lorist
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.56 AND 1.97(b) BEFORE MAILING OF A FIRST OFFICE ACTION

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO SB/08B, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0685 (Order No. RECOP018).

Respectfully submitted,
VAN PELT, YI & JAMES LLP

A handwritten signature in black ink, appearing to read "William J. James".

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Substitute for form 1449/PTO		Complete if Known	
Information Disclosure Statement By Applicant (Use as many sheets as necessary)		Application No.:	09/964,272
		Filing Date	September 25, 2001
		First Named Inventor	Michael P. Lyle
		Art Unit	2131
		Examiner Name	Unknown
Sheet 1 of 1	Atty Docket No.	RECOP018	

U.S. Patent Documents					
Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A.	US 6,321,338 B1	11/20/2001	Porras et al.	
	B.	US 6,484,203 B1	11/19/2002	Porras et al.	
	C.	US 6,704,874 B1	03/09/2004	Porras et al.	
	D.	US 6,708,212 B2	03/16/2004	Porras et al.	
	E.	US 6,711,615 B2	03/23/2004	Porras et al.	
	F.				
	G.				
	H.				
	I.				
	J.				
	K.				
	L.				
	M.				
	N.				
	O.				
	P.				

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code-Number-Kind Code (if known)			
	Q.				
	R.				
	S.				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
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Examiner	Date Considered
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

T² = Applicant is to place a check mark here if English language Translation is attached